Doctoral Regulations of the Faculties of Philosophy I, II and III of the Martin Luther University Halle-Wittenberg

dated 13 June 2018

Pursuant to Section 18, paragraph 7 in conjunction with Section 67, paragraph 3, no. 8 and Section 77, paragraph 2, no. 1 of the Higher Education Act [Hochschulgesetz] of the Federal State of Saxony-Anhalt of 14 December 2010 (Gazette of Laws & Ordinances of Saxony-Anhalt p. 2010, 600, 2011, 561), most recently amended by Article 7 of the Law of 25 February 2016 (Gazette of Laws & Ordinances of Saxony-Anhalt p. 89, 94), the following Doctoral Regulations have been issued for the Faculties of Philosophy I, II and III of Martin Luther University Halle-Wittenberg for the attainment of the title of “Doctor of Philosophy” (Doctor philosophiae, Dr. phil.):

Section 1
Doctoral titles

(1) The Faculties of Philosophy of the Martin Luther University award the academic title of “Doctor of Philosophy” (Dr. phil.) on the basis of these Doctoral Regulations following the successful completion of an ordinary doctoral examination procedure.

(2) The Faculties of Philosophy may award the academic title of Honorary Doctor of Philosophy (Dr. phil. h.c.) to honour exceptional academic or artistic achievements and outstanding contributions to science.

Section 2
Doctorate Committee

(1) The Doctorate Committee of the competent Faculty in each case shall be responsible for conducting doctoral examination procedures on the basis of these Regulations. The responsibility of the Faculties arises on the basis of the subject catalogue included as an Annex to these Regulations.

(2) The Doctorate Committee of each Faculty shall be composed of one Chair and at least four additional members. Three of those additional members must be professors within the Faculty; the fourth additional member can be either a professor or an academic colleague of the members of the group who has a post-doctoral degree and is employed within the Faculty. The members of the Doctorate Committee shall be selected by the Faculty Council for the duration of the term of office of the Dean; they may also be re-elected. As a general rule, the Chair of the Doctorate Committee is the Dean. The Doctorate Committee shall select a further member from the status group of professors to act as a representative for the Chair.
(3) The Doctorate Committee shall have a quorum if all members are invited and the majority of members are present. Decisions shall be taken with a simple majority of the members present. In the event that votes are tied, the Chair shall cast the deciding vote.

(4) The Doctorate Committee may delegate the performance of individual tasks to the Chair subject to revocation.

Section 3
Requirements for admission to the doctoral examination procedure

(1) As a general rule, admission to the doctoral examination procedure is granted on the basis of the completion of a university degree from a German university or an equally-ranked higher education institution with a score of “good” or higher and with the selected doctoral subject as the major subject. Completion shall be proven by means of the Bachelor’s or Master’s examination, a Master’s degree certificate or the First State Examination for Teaching.

(2) The decision as to whether other academic degrees that differ in terms of their content and/or structure are to be recognised as a condition for admission shall be made by the Doctorate Committee in consultation with the specialist representatives; account is to be taken of international agreements in this regard.

(3) Upon request, the Doctorate Committee may admit particularly able and suitable graduates from universities of applied sciences to the doctoral examination procedure. By way of evidence of the particular aptitude and suitability of such graduates, a (Bachelor’s or Master’s) degree from a university of applied sciences is to be provided that proves their above-average competence in a subject that corresponds to the selected doctoral subject in terms of its content. In the event that no degree from a university of applied sciences evidencing the aptitude of the candidate is available, the particular aptitude and suitability of the candidate is to be proven by means of:

1. A report from a professor at the university of applied sciences from which the candidate obtained his/her degree that confirms his/her particular aptitude and
2. A report from a professor at the Martin Luther University that confirms the particular aptitude of the candidate.

In this regard, admission may be made conditional on the provision of proof of the completion of additional academic studies and/or module work to the Martin Luther University and, as a general rule, the successful participation in at least two seminars of the main course or the Master’s programme.

(4) Candidates who wish to request admission to the doctoral examination in accordance with Paragraphs 2 or 3, must submit a request to the Faculty that equivalence (Paragraph 2) or his/her particular aptitude (Paragraph 3) be determined in good time prior to the request for admission (cf. Sections 4 and 5). The Doctorate Committee shall make a decision on the basis of that request in consultation with the competent specialist representatives.
concerning the scope and nature of the academic studies and/or module examinations for which evidence is to be provided.

(5) As a general rule, candidates who have already acquired the title of Doctor of Philosophy from a German university or who have already unsuccessfully participated in a doctoral examination procedure at a German university on more than one occasion are not generally granted admission to a further doctoral examination procedure. Candidates who were unsuccessful during a previous doctoral examination procedure must wait at least one year from the date on which the previous doctoral examination procedure ended before submitting a new request. The decision concerning such requests shall be made by the competent Doctorate Committee in consultation with the specialist representatives.

Section 4
Acceptance as a doctoral candidate

(1) Candidates who fulfil the conditions for admission in accordance with Section 3 and intend to produce a thesis must apply to the Faculty for acceptance as a doctoral candidate before being admitted to the doctoral examination procedure. The application must state the topic of the planned thesis and include confirmation by means of a signature that a professor or Faculty staff member with a post-doctoral degree or a junior professor who is a member of or affiliated to the Faculty is willing to act as academic supervisor for the thesis. Applications shall be decided upon by the Doctorate Committee; an application may only be rejected if the conditions set out in Section 3 are not fulfilled. In duly substantiated exceptional cases, the Doctorate Committee shall be permitted to commission the independent supervision of a doctoral examination by an exceptionally qualified academic from the relevant Faculty who holds a doctorate and who is participating in externally audited programmes aimed at enabling early career researchers to become eligible for a university teaching career, even without proof of a post-doctoral qualification.

(2) An application for acceptance as a doctoral candidate must be sent to the Dean of the competent Faculty in writing using the relevant online form. The application must include:
- The topic of the planned thesis
- Details of the academic supervisor for the thesis,
- Copies of all certificates relating to the degrees held (certified copies or submission of originals)
- A declaration detailing whether the candidate has already participated in a doctoral examination procedure at another university or has already applied as a doctoral candidate at another university, and what the outcome was
- A declaration by the candidate of previous criminal convictions and pending preliminary investigations.

The application is to be submitted promptly upon the commencement of the production of the thesis; however, it must be submitted at least one year in advance of the application for admission to the doctoral examination procedure.

(3) Candidates shall receive a confirmation from the Chair of the Doctorate Committee regarding acceptance as a doctoral candidate. This confirmation shall cease to be valid if the thesis has not been submitted within five years. This period may be extended upon request.
in substantiated cases. Reasons for refusal are to be set out in writing and accompanied by an explanation of the legal remedies available to the candidate.

(4) By accepting someone as a doctoral candidate, the Faculty of Philosophy declares its willingness in principle to supervise the doctoral candidate in the writing of the thesis and to assess it upon completion. Furthermore, acceptance as a doctoral candidate shall formally determine that the candidate will be admitted to the doctoral examination procedure following completion of his/her thesis if he/she submits the documents stated in Section 6. If subject-specific requirements have been set, acceptance as a doctoral candidate shall be conditional until the requirements have been fulfilled.

(5) If any membership of or affiliation to the Faculty lapses, the rights associated with the supervision of the dissertation shall remain in place for three years. This period may be extended by a further two years upon request in substantiated cases.

Section 5
Study programmes within the Faculty of Philosophy III during the completion of doctoral studies – Educational Sciences

(1) The Faculty of Philosophy III – Educational Sciences offers a study programme for doctoral candidates within the Faculty that can be completed alongside their doctoral studies. The standard course duration is two years.

(2) Generally speaking, participation in the lectures is optional for all doctoral candidates within the Faculty in accordance with Section 3, Paragraph 1), with the exception of doctoral candidates who have passed the first state examination in teaching following a degree course lasting no longer than seven semesters. In the case of doctoral candidates who have completed a teacher training course or a course at a university of applied sciences lasting no longer than seven semesters, the successful participation in these lectures is obligatory. Where doctoral candidates have completed other teaching courses or are studying subjects not related to educational sciences or theology or are studying other subjects in the fields of humanities and social sciences, the Doctorate Committee shall investigate, on a case-by-case basis and in consultation with the supervisor, whether the lectures should be attended on an obligatory or voluntary basis.

(3) Within the scope of the study programmes completed alongside their doctoral studies, the doctoral candidates within the Faculty must attend at least four lectures, each totalling two hours per week throughout the semester, in the given subject areas.

Section 6
Application for admission to the doctoral examination procedure

The conditions and regulations set out in Sections 3 and 4 shall apply accordingly to admission to the doctoral examination procedure. If a candidate has already been accepted as a doctoral candidate, these conditions shall be regarded as fulfilled. Candidates must apply to the Dean of the competent Faculty in writing for admission to the doctoral examination procedure. The following must be enclosed with the application:
1. Title of the thesis and name of the supervisor
2. Proposals for the assessors and the elected member of the Doctorate Committee
3. At least five printed, bound and paginated copies of the thesis
4. A sworn declaration to the effect that the candidate has produced his/her work independently, that he/she has not used any sources or resources other than those he/she has stated, and that elements quoting directly from or making reference to the works used have been identified
5. A declaration as to whether the candidate has previously made unsuccessful attempts to attain a doctoral degree and whether the current version or another version of the thesis has been previously submitted to another Faculty
6. Where appropriate, evidence of the format and content of the study programme successfully completed alongside the candidate’s doctoral studies and the certificate of performance acquired as a result
7. A declaration by the candidate of previous criminal convictions and pending preliminary investigations
8. Where applicable, confirmation of acceptance as a doctoral candidate, in accordance with Section 4.

Section 7
Initiation of the doctoral examination procedure

(1) The Chair of the Doctorate Committee establishes whether the conditions for admission to the doctoral examination procedure have been fulfilled and the application documents are complete. If all the conditions have been met, he/she initiates the doctoral examination procedure and notifies the candidate of this in writing.

(2) An application for admission must be rejected if the conditions set out in Sections 3 and 6 are not fulfilled. The candidate is notified of the rejection of his/her application in writing, stating the reason and providing information advising him/her of the legal remedies available to him/her.

Section 8
Thesis

(1) The thesis must demonstrate the candidate’s ability to conduct research independently and to produce an appropriate account of such research. Its academic content must justify publication.

(2) As a general rule, the thesis should be presented as a single piece of work. An independent, clearly definable section of a joint academic project that is marked with the candidate’s name and that meets these requirements may be admitted as a thesis upon special request. By means of a request sent to the Doctorate Committee and following a consultation with the specialist representatives, a cumulative thesis may also be admitted. Where subject-specific standards are present, these should be applied.

(3) A paper that has previously been submitted by the candidate within the scope of another academic or state examination cannot be admitted as a thesis; however, it may form part of
the thesis. It is permissible to submit a thesis that has already been published in full or in part.

(4) As a general rule, the thesis should be written in the German language. Unless the Doctorate Committee applies restrictions upon the acceptance of the doctoral candidate, the work may also be completed in the English language. Following a reasoned request, the Doctorate Committee may permit the use of a different language. In the event that the thesis is not written in the German language, it must include a summary in the German language comprising at least ten pages. The cover page of the thesis must be designed in a uniform manner, as per the template provided as an Annex hereto.

(5) The thesis must be produced independently; supervision above and beyond the usual level of supervision offered by a professor, or any involvement by a third party above and beyond corrections made from a purely linguistic point of view, shall not be permitted.

Section 9
Appointment of thesis assessors

(1) Once the doctoral examination procedure has been initiated, the Doctorate Committee shall arrange the assessment of the submitted thesis and appoint assessors for this purpose. Only professors and persons holding a post-doctoral degree may be appointed as assessors. Specially qualified individuals with a doctoral degree may also be admitted as assessors (in the sense of Section 4, Paragraph 1). The Doctorate Committee may deviate from the candidate’s proposal when appointing the assessors.

(2) The thesis shall be assessed by at least two assessors. At least one of these must be a member of the relevant Faculty within the meaning of Section 33, Paragraph 1 of the Higher Education Act of the Federal State of Saxony-Anhalt and a university professor at the Martin Luther University or an equivalent person under corporate law. Where a cumulative thesis is submitted, an additional, external assessor is to be brought in. Generally speaking, the supervisor of the paper is one of the assessors. If this is a supervisor as described in the fourth sentence of Section 4, Paragraph 1, two additional assessors are to be called upon.

(3) In the case of a thesis on an interdisciplinary topic, an assessor is to be appointed from each of the predominantly relevant subject areas.

Section 10
Assessment of the thesis

(1) Each assessor shall submit a substantiated and independent written opinion on the thesis to the Doctorate Committee within four months, in which he or she proposes the acceptance or rejection of the thesis. If an assessor deems amendments or additions to be necessary for the purposes of printing, he/she must note this in his/her opinion.

(2) A recommendation for acceptance must be accompanied by an assessment (mark) in accordance with the following four marking levels:
with honours (summa cum laude): 1.0
excellent (magna cum laude): 1.5
good (cum laude): 2.0 or 2.5
adequate (rite): 3.0 or 3.5

The rejection of the thesis is awarded the following grade:

inadequate (non sufficit): 4.0.

(3) For outstanding academic performance, the thesis should be awarded the grade “summa cum laude”.

(4) If rejection of the thesis is recommended in any one of the opinions, the Doctorate Committee shall appoint a further assessor. If more than one opinion recommends that the thesis be rejected, no other assessors will be appointed.

(5) As soon as the last opinion is received, the Chair of the Doctorate Committee shall notify the professors and all other Faculty members with post-doctoral degrees that the thesis and opinions will be available for viewing in the Dean’s Office for 14 days. During the exhibition period, the aforementioned persons shall be permitted to raise any objections to the grading of the thesis in writing. The Doctorate Committee can reject such objections as manifestly unfounded or can appoint one or more additional assessors.

(6) Following the end of the exhibition period, the Doctorate Committee shall decide whether to accept or reject the thesis. If two of the appointed assessors recommend that the thesis be rejected, the Doctorate Committee shall determine that the thesis be rejected; otherwise it shall determine that the thesis be accepted.

(7) Upon the acceptance of the thesis, the mathematical average of the marks proposed by all assessors, rounded to one decimal place, is determined as the mark. The assessment is to be accompanied by the following grades:

with honours (summa cum laude): 1.0
excellent (magna cum laude): 1.1 - 1.75
good (cum laude): 1.8 - 2.7
adequate (rite): 2.75 - 3.7
inadequate (non sufficit): ≥ 3.75

(8) If one or more opinions submitted in accordance with the second sentence of Paragraph 1 propose(s) conditions for the publication of the thesis, the Doctorate Committee may decide whether to apply these.

(9) If a thesis is rejected, the doctoral examination procedure shall be regarded as completed unsuccessfully. The Chair of the Doctorate Committee shall notify the candidate in writing of the decision to reject the thesis, accompanied by information advising him/her of legal remedies. The rejected thesis shall remain on file with all opinions.
Section 11
Thesis Defence Committee

(1) Following the acceptance of the thesis, the Doctorate Committee shall appoint a Thesis Defence Committee for the purposes of performing the defence and shall also appoint the examiner for the viva voce, where necessary.

(2) Generally speaking, the Thesis Defence Committee comprises a professor from the Doctorate Committee of the Faculty acting as Chair, the persons assessing the thesis and an elected member appointed by the candidate. Assessors are not permitted to act in the position of Chair of the Thesis Defence Committee. In the event that assessors are unable to attend, the Doctoral Committee shall be permitted to appoint representatives. The elected member must be a professor, a person holding a post-doctoral degree or a junior professor (Section 4, Paragraph 1) working at the Martin Luther University.

(3) In the event that a viva voce is performed (Section 12), as a general rule, the Doctorate Committee shall appoint the supervisor of the thesis as examiner; in duly substantiated exceptional cases, another professor from the Faculty may be appointed as examiner.

Section 12
Viva voce

(1) The viva voce is an oral examination and focuses purely on the doctoral subject. The viva voce shall be dispensed with in cases where the candidate has completed a course of study with a standard course duration of at least eight semesters, the main subject of which is the doctoral subject, as per Section 3, Paragraph 1 or 2.

(2) The viva voce shall be undertaken by the examiner appointed by the Doctorate Committee in the presence of an experienced observer who holds a doctorate. The members of the Thesis Defence Committee (Section 11) may be present during the examination. Upon request by the candidate, the examination may take place in public. The observer shall draw up the minutes.

(3) Generally speaking, the examination lasts one hour. The candidate shall receive a written invitation from the examiner to attend the viva voce; the invitation shall be sent 14 days in advance of the viva voce. This period may be shortened with the candidate’s agreement.

(4) Once the viva voce is complete, the examiner assigns a grade of “pass” or “fail”.

(5) If the candidate fails the viva voce, he/she shall be entitled to one further attempt within one year, but no sooner than six months after the first attempt. If the repeat examination is also failed, the doctoral examination procedure as a whole shall be deemed to have been failed.
Section 13
Defence of the thesis

(1) The defence of the thesis shall be conducted in public by the Thesis Defence Committee. The candidate shall receive a written invitation from the Chair of the Thesis Defence Committee to attend the defence; the invitation shall be sent 14 days in advance of the defence. This period may be shortened with the candidate’s agreement. Should a viva voce be performed (Section 12), the candidate shall be invited to the defence once he/she has passed.

(2) As a general rule, the defence shall be conducted in the German language. Following a reasoned request, the Thesis Defence Committee may permit the use of a different language.

(3) The Faculty Dean’s Office shall make the opinions on the thesis available to the candidate during the notice period.

(4) During the defence, the candidate shall present the results of the thesis during a lecture that should last between 20 and 30 minutes, place them in the context of the subject and present an opinion to the assessors.

(5) The lecture is followed by a discussion, which generally lasts one hour. This should cover topics and methods relating to the thesis, as well as fundamental issues in the specialist field. The discussion shall be led by the Chair of the Thesis Defence Committee, who may restrict the right to speak of individuals present.

(6) The proceedings of the defence shall be recorded in minutes.

(7) Following the defence, the Thesis Defence Committee shall decide in a private meeting on the assessment of the defence in accordance with the following grades:

- with honours (summa cum laude) = 1.0
- excellent (magna cum laude) = 1.5
- good (cum laude) = 2.0 or 2.5
- adequate (rite) = 3.0 or 3.5
- inadequate (non sufficit) = 4.0

Section 14
Repetition of the defence

(1) If a candidate fails to pass the defence, he/she shall be granted only one further attempt to defend the thesis. The repeated defence cannot take place any sooner than 6 months following the failed attempt.

(2) The request for a repeated defence is to be sent to the Doctorate Committee in writing within 12 months following the date on which the failed defence took place.
(3) In the event that this deadline is missed, or if the repeated defence is graded as “inadequate”, the doctoral examination procedure shall be deemed to have been failed.

Section 15
Final grade for the doctoral examination

(1) If the defence has been awarded a mark of 3.5 or better, the Thesis Defence Committee shall establish the final grade for the doctoral examination following the assessment of the defence.

(2) The final grade is established on the basis of the weighted mathematical average of the mark for the thesis (with a weighting of 2) and the assessment of the defence (with a weighting of 1), rounded to one decimal place.

(3) The following grades shall be awarded:

- summa cum laude (with honours) = average of 1.0
- magna cum laude (excellent) = average of > 1.0 and < 1.8
- cum laude (good) = average of ≥ 1.8 and < 2.8
- rite (adequate) = average of 2.8 to 3.7.

(4) Once the process for establishing the final grade is complete, the Thesis Defence Committee shall inform the candidate of the result.

(5) Once the Thesis Defence Committee has made its decision, the candidate shall receive a provisional certificate from the Dean of the Faculty detailing the result of the doctoral examination procedure.

(6) In the event that the Doctorate Committee has established conditions for the printing of the work (Section 10, Paragraph 8), the candidate shall be informed of these in writing.

(7) In execution of the conferral of a doctorate (Section 18), a certificate shall be issued according to the attached template, which features the title of the thesis, the assessment of the thesis and the defence and the final grade awarded, together with the signatures of the Rector and the Dean of the competent Faculty of Philosophy of Martin Luther University Halle-Wittenberg.

Section 16
Withdrawal, absence, fraud

(1) Should the candidate withdraw from the doctoral examination procedure after it has been initiated without good reason, the doctoral examination shall be regarded as failed. Should the candidate be absent on the date of the viva voce or the defence without good reason, the viva voce or the defence shall be regarded as failed.
(2) The Chair of the Doctorate Committee must be notified in writing without delay of the reasons claimed for any withdrawal or absence, and these must be credible. In the event of illness, the candidate is required to submit a doctor’s certificate.

(3) Should a candidate have been admitted to the doctoral examination procedure by means of fraud, or if important conditions for admission have been mistakenly assumed, any doctoral work already carried out may also be declared invalid retrospectively and the doctorate denied by the Doctorate Committee. The candidate shall be given the opportunity to provide his/her viewpoint before a decision is made. The candidate shall be notified of the decision in writing, accompanied by information advising him/her of the legal remedies available to him/her.

(4) Should facts become known that indicate that the candidate availed him/herself of unauthorised third-party assistance in producing the thesis, the doctoral examination procedure shall be postponed by a decision of the Doctorate Committee until these allegations have been clarified. The candidate shall be given the opportunity to provide his/her viewpoint before a decision is made. The candidate shall be notified of the decision in writing, accompanied by information advising him/her of the legal remedies available to him/her. Should it be possible to prove that the candidate received such unauthorised assistance, the doctoral examination shall be regarded as failed.

Section 17
Publication of the thesis

(1) To conclude the doctoral examination procedure, the candidate must publish the thesis. Any requirements set with regard to publication must be fulfilled prior to publication. Prior to publication, the candidate must seek permission to print the work from the Chair of the Doctorate Committee.

(2) There are three options for publishing the thesis.

- Twelve bound copies may be handed in to the thesis office of the university and state library.
- If the thesis is being published as part of a series of scientific papers or in a journal or as a standalone printed work by a publisher, six mandatory copies must be submitted to the thesis office.
- Publication in electronic format in accordance with the regulations of the University and Regional Library of Saxony-Anhalt is also permitted. For this form of publication, a bound copy and an electronic version are to be submitted to the thesis office.

(3) Differences between the reproduced version and the version submitted, that go above and beyond editorial processing, are permissible in the following cases:

1. Where they serve to bring the work up to date with advancements made in terms of research
2. Where they enable its inclusion in a series of academic publications or in academic journals
3. Where they enable the work to be published abroad as a result of it having been translated into another language.

In this case, the fundamental academic content of the work must remain unchanged. Such differences are subject to approval by the first assessor of the thesis; that approval is to be presented to the Chair of the Doctorate Committee to enable permission to print to be granted.

(4) The mandatory copies to be submitted must be labelled on the cover page as “Dissertation zur Erlangung des Doktorgrades der Philosophischen Fakultät (I, II oder III) der Martin-Luther-Universität Halle- Wittenberg” [Thesis to attain the title of Doctor of the Faculty of Philosophy (I, II or III) of Martin Luther University Halle-Wittenberg”].

(5) If the thesis is not published within four years of completing the doctoral examination procedure, all rights acquired as a result of the doctoral work shall lapse. The Chair of the Doctorate Committee may extend the period upon the candidate’s request; such a request must be submitted before the period expires.

(6) The conferral of a doctorate requires the publication of the thesis and the submission of the mandatory copies. The Dean of the Faculty may, in consultation with an assessor belonging to the Faculty, approve early conferral of a doctorate if it can be appropriately guaranteed, e.g. by means of a binding declaration by a publisher or by submission of the page proof, that the paper will be published within a reasonable period.

Section 18
Conferral of a doctorate

(1) The Dean of the competent Faculty shall execute the conferral of the doctorate by issuing the doctoral certificate (Section 15, Paragraph 7) as soon as the conditions in Section 17 have been fulfilled. The date of the defence shall be regarded as the date on which the doctorate is conferred.

(2) The candidate shall have the right to use the title of Doctor upon receiving the doctoral certificate.

Section 19
Attaining a doctorate with joint supervision by a foreign institution authorised to confer doctorates

(1) The doctoral examination procedure may be performed with joint supervision by a foreign institution authorised to confer doctorates (hereinafter referred to as a Partner Institution), in so far as an appropriate arrangement is in place with such Partner Institutions. Such arrangements shall require the written form and must be approved by the Faculty Council. The arrangement must include regulations setting out the details of the joint doctoral examination procedure. It shall also include any requirements relating to the completion of a doctoral degree programme.
Doctoral programmes under joint supervision shall be governed by the general provisions of these Doctoral Regulations unless special provisions have been made. The thesis must fulfil the formal and substantive requirements of acceptance in Germany, and fulfil the requirements that apply in the foreign country.

(2) The doctoral candidate shall be free to choose whether to submit the thesis in Germany or to the Partner Institution. The further procedure shall then be conducted on the basis of the regulations of the country of submission, but these must be adapted to the requirements of the Cotutelle (bi-national supervision agreement).

(3) The candidate shall be supervised by an academic tutor from each of the two participating Partner Institutions. The supervisor from the Partner Institution shall be appointed as a first or second assessor in the doctoral examination procedure of the participating Faculty of Philosophy at the Martin Luther University Halle-Wittenberg.

(4) The thesis is to be written in the language of the country in which it is to be submitted and is to be accompanied by a summary in the language of the Partner Institution comprising at least ten pages, unless otherwise stipulated by the Faculties involved. Subject to the agreement of the institution, the supervisor and the rapporteur, the thesis may be submitted in the language of the Partner Institution, provided it is accompanied by a summary in the other language.

(5) If the oral component of the doctoral work in the form of a defence or other equivalent form takes place at the foreign Partner Institution with the cooperation of the supervisor from Halle, this shall replace the oral component of the doctoral work at the participating Faculty of Philosophy at the Martin Luther University Halle-Wittenberg. Full particulars shall be provided for in the arrangement to be concluded with the foreign Partner Institution.

(6) If the oral component of the doctoral work takes place at the relevant Faculty of Philosophy at the Martin Luther University Halle-Wittenberg, professors from the foreign Partner Institution may be appointed as examiners. Full particulars shall be provided for in the arrangement to be concluded with the Partner Institution.

(7) In the event that the institutions involved apply different assessment procedures, the assessment of the thesis and the defence and the establishment of the final grade shall take place separately in accordance with the different sets of rules. The doctoral examination shall be deemed to have been passed if it has been passed under both procedures.

(8) The doctoral certificate shall feature the seals of both Partner Institutions in so far as it is acceptable in both institutions. It shall contain the academic title of “Dr. phil.” and the corresponding academic title used in the foreign country. It shall also include a note to the effect that the doctoral degree stated thereon was supervised jointly. If two standalone certificates are issued, a reference or other means shall be used to show that they concern a unified certificate and that the holder of the doctorate is entitled to use the German title of Doctor in Germany and the corresponding title of Doctor in the foreign country. Particulars concerning the design of the certificates shall be provided for in the arrangement to be concluded with the foreign Partner Institution.
(9) Once he/she has received the doctoral certificate, the holder of the doctorate shall be entitled to use the title of Doctor in the Federal Republic of Germany and the relevant title of Doctor in the country in which the participating Partner Institution is located. He/she shall acquire entitlement to use the title of Doctor only. The doctoral certificate also entails that the conferred foreign title of Doctor does not constitute an academic title attained in a foreign country in the sense of the German Act on the use of academic titles [Gesetz über die Führung akademischer Grade]. Reference may be made in the agreement with the foreign Partner Institution to its right with regard to reproduction of the thesis and the number of mandatory copies. Care must be taken to ensure that at least six mandatory copies are delivered to the relevant Faculty of Philosophy in Halle.

Section 20
Revocation of the title of Doctor

(1) Statutory provisions shall apply with regard to the revocation of the title of Doctor.

(2) Unless otherwise stipulated herein, the title of Doctor may be revoked by means of a decision by the Doctorate Committee in the event that it is discovered that it was obtained fraudulently. Prior to the making of that decision, the holder of that title shall be granted the opportunity to put forward his/her point of view on the matter within a period of four weeks. The decision shall be duly motivated and sent to the person concerned in writing, together with information advising him/her of the legal remedies available to him/her.

Section 21
Inspection of files, right to appeal, legal remedies

(1) Once the procedure has been concluded, the candidate shall be permitted to inspect his/her doctorate file upon request within a period of one year.

(2) The doctoral candidate shall be entitled to appeal decisions made by the Thesis Defence Committee, the Doctorate Committee and/or the Faculty. The appeal must be set out in writing or recorded in the minutes and sent to the Dean within one month of the date on which the decision in question was made. The Dean shall be obliged to inform the Doctorate Committee of this immediately to enable a decision to be made with regard to the appeal. The doctoral candidate is to be informed of this decision in writing by the Dean.

(3) All decisions served upon the doctoral candidate in writing by the Thesis Defence Committee, the Doctorate Committee and/or the Faculty that are negative, suspensive or annulled, particularly where they relate to Section 4, Paragraph 1; Section 7, Paragraph 2; Section 10, Paragraph 9 and Section 16, Paragraphs 3 and 4, must be accompanied by information advising him/her of the legal remedies available to him/her.
Section 22
Honorary doctorates

(1) The honorary title of Doctor, Dr. h. c. (doctor honoris causa) shall be conferred for outstanding intellectual contributions to a specialist field taught in the Faculty. Staff members are excluded from honorary doctorates from their own Faculty.

(2) All professors within the Faculty shall be entitled to put forward nominations.

(3) The procedure to confer an honorary doctorate shall be initiated by a written application addressed to the Dean of the Faculty.

(4) The conditions for the conferral shall be examined by an honorary doctorate committee set up by the Faculty, which sends a resolution proposal to the Faculty.

(5) The Faculty shall decide on the conferral of an honorary doctorate on the basis of the honorary doctorate committee’s proposal. This proposal must have the agreement of two thirds of the professors who are entitled to vote and who are members of the Faculty.

(6) If the Faculty has decided to confer an honorary doctorate, the honorary doctorate committee must draft an encomium and submit it to the Faculty for approval.

(7) The Faculty shall forward the proposal to the Rector and the Senate for their information.

Section 23
Entry into force

(1) These Doctoral Regulations shall enter into force on the day after their publication in the Amtsblatt (official gazette) of Martin Luther University Halle-Wittenberg.

(2) At the same time, the Doctoral Regulations of the Faculties of Philosophy of 9 February 2011 (Amtsblatt volume 21, no. 3 of 22 March 2011) shall cease to apply.

(3) Doctoral examination procedures that have already commenced upon the entry into force of the present Regulations of the Faculty of Philosophy under the Regulations referred to in Paragraph 2 shall be performed in accordance with the provisions of the previous Doctoral Regulations. Doctoral candidates shall be permitted to submit an irrevocable declaration to the Dean that the provisions of the present Regulations should apply to a doctoral examination procedure that has already commenced.

These Regulations were concluded by the Faculty Council of the Faculty of Philosophy I on 31/01/2018, the Faculty Council of the Faculty of Philosophy II on 15/11/2017 and the Faculty Council of the Faculty of Philosophy III on 01/11/2017; the Academic Senate issued its opinion on the present Regulations on 13/06/2018.
Translation of legally binding German version of the doctoral regulations

Halle (Saale), 12/07/2018

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Prof. Udo Sträter
Rector

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Prof. Suzanne S. Schüttemeyer
Dean of Faculty of Philosophy I

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Prof. Georg Maas
Dean of Faculty of Philosophy II

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Prof. Torsten Fritzlar
Dean of Faculty of Philosophy III